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02/05/02  
1c602 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

ATTY DOCKET NO.: 5218-88  
DATE: February 5, 2002

1c971 U.S. PTO  
10/068016  
02/05/02

UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application claiming priority from Prov. App. Ser. Nos. 60/266,988 and 60/295,640.
- ☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)

Inventor(s) or Application Identifier:

**Gregg D. Givens, David C. Balch, Timothy Murphy, Adrian Blanarovich, and Patrick Keller,**  
**all of Greenville, North Carolina**

Entitled: **SYSTEMS, METHODS AND PRODUCTS FOR DIAGNOSTIC HEARING  
ASSESSMENTS DISTRIBUTED VIA THE USE OF A COMPUTER NETWORK**

Correspondence Address:



20792

PATENT TRADEMARK OFFICE

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 47 pages of Specification (including 59 claims)
3. ☒ 16 sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☒ Applicant claims Small Entity Status. See 37 CFR 1.27.
9. ☐ Information Disclosure Statement, PTO-1449 \_\_\_\_ references
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document


12. ☐ Certified Copy of Application No. ; Filed
13. ☐ Sequence Listing/ Sequence Listing Diskette
- a. ☐ computer readable copy
- b. ☐ paper copy
- c. ☐ statement in support
14. ☐ An Associate Power of Attorney
15. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
16. ☒ Other: Application Filed Under 37 CFR 1.41(c)

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$ 370.00	\$ 740.00
TOTAL CLAIMS	57 - 20 =	37	x 09 = \$ 333.00	x 18 = \$
INDEP CLAIMS	10 - 3 =	7	x 42 = \$ 294.00	x 84 = \$
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$ 997.00	Total \$

- ☒ A check in the amount of \$997.00 for the filing fee is enclosed.
- ☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee
- ☐ Please charge my Deposit Account No. 50-0220 in the amount of \$
- ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:
- a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
- b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

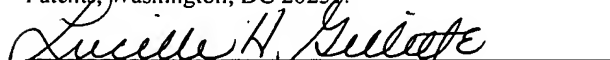
  
 Julie H. Richardson  
 Registration No. 40,142

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL920741482US

Date of Deposit: February 5, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.

  
 Lucille H. Gillette

ATTORNEY DOCKET NO. 5218-88

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gregg D. Givens et al.

Serial No. To be assigned

Filed: Concurrently herewith

For: **SYSTEMS, METHODS AND PRODUCTS FOR DIAGNOSTIC  
HEARING ASSESSMENTS DISTRIBUTED VIA THE USE OF  
A COMPUTER NETWORK**

Date: February 5, 2002

Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors, **Gregg D. Givens, David C. Balch, Timothy Murphy, Adrian Blarovich, and Patrick Keller**, all of **Greenville, North Carolina** under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,



Julie H. Richardson  
Registration No. 40,412

**Correspondence Address:**



20792

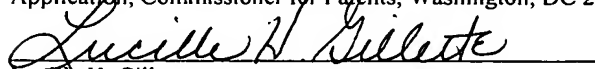
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Lucille H. Gillette